

Law & Finance Committee

October 12, 2022 4PM

40 McMaster Street, Ballston Spa, NY

Chair: Jonathan Schopf

Members:

Phil Barrett- VC Joe Grasso John Lant Jean Raymond Kevin Tollisen Matt Veitch

Welcome and Attendance

Approval of the minutes from September 14, 2022

BUILDINGS & GROUNDS

Authorizing a lease agreement at the Saratoga County Airport with Prime Group Holdings, LLC for the construction, maintenance and use of an airplane hangar on County land.
 (Chad Cooke, Commissioner of Public Works)

BUDGET IMPACT: No Budget Impact.

• Authorizing the acceptance of an Upstate Airport Revitalization grant from NYSDOT in the amount of \$27 million for the design and construction of an airport terminal at the Saratoga County Airport.

(Chad Cooke, Commissioner of Public Works)

<u>BUDGET IMPACT</u>: No Budget Impact. Funds for this grant will be included in the 2023 tentative budget

• Authorizing an amendment to the contract with CPL Architects, Engineers, Landscape Architect and Surveyor, DPC for professional services associated with the County's Family Court expansion project and amending the 2022 County budget in relation thereto.

(Chad Cooke, Commissioner of Public Works)

BUDGET IMPACT: Funding will require an appropriation of \$30,000 from Fund Balance.

PUBLIC SAFETY

• Authorizing an agreement with the New York State Division of Homeland Security and Emergency Services to accept a \$118,764 Public Safety Answering Points (PSAP) Grant to offset certain operating expenses associated with PSAP operations in the Sheriff's Department. (Michael Zurlo, County Sheriff)

BUDGET IMPACT: No Budget Impact. Funds are included in the Department Budget.

• Authorizing an increase in capital expenditures for the Correctional Facility, and amending the 2022 County Budget in relation thereto.

(Michael Zurlo, County Sheriff)

BUDGET IMPACT: Funding will require an appropriation of \$481,366 from Fund Balance.

• Authorizing the acceptance of a State Law Enforcement Terrorism Prevention Program Grant from the New York State Division of Homeland Security and Emergency Services. (Michael Zurlo, County Sheriff)

<u>BUDGET IMPACT</u>: The budget will be amended to accept these funds and authorize the related expenses.

HEALTH & HUMAN SERVICES

• Authorizing an agreement with Qualtrics LLC to expand access to health and human services resources.

(Michael Prezioso, Commissioner of Mental Health & Addiction Services)

<u>BUDGET IMPACT</u>: Funding for this project will require an appropriation of \$49,500 utilizing Opioid Settlement funds.

• Authorizing the acceptance of an American Rescue Plan Act Adult Protective Services grant from the New York State Office of Children and Family Services, and amending the 2022 County budget in relation thereto.

(Tina Potter, Commissioner of Social Services)

<u>BUDGET IMPACT</u>: The budget will be amended to accept these funds and authorize the related expenses.

• Approving the 2022 Annual Plan update to the 2018-2023 Saratoga County Child and Family Services Plan.

(Tina Potter, Commissioner of Social Services)

BUDGET IMPACT: No Budget Impact.

ECONOMIC DEVELOPMENT

• Authorizing the issuance of a third quarter 2022 payment to Saratoga County Economic Development Corporation for marketing and promotional services.

(Steve Bulger, County Administrator)

BUDGET IMPACT: No Budget Impact. Funds are included in the Department Budget.

TRAILS & OPEN SPACE

• Amending Resolution 258-2019 to remove a condition of a Farmland and Open Space Preservation Program grant award to the Town of Clifton Park.

(Jason Kemper, Director of Planning & Economic Development)

BUDGET IMPACT: No Budget Impact.

• Amending Resolution 216-2020 to reassign a grant award to the Town of Saratoga. (Jason Kemper, Director of Planning & Economic Development)

BUDGET IMPACT: No Budget Impact.

- Awarding 2022 Trails grants and amending the 2022 County budget in relation thereto.
 (Jason Kemper, Director of Planning & Economic Development)
 BUDGET IMPACT: Funding will require an appropriation of \$18,653 from Fund Balance.
- Authorizing an agreement with Greenman-Pedersen, inc. for engineering services for the extension of the Zim Smith Trail North extension.
 (Jason Kemper, Director of Planning & Economic Development)
 BUDGET IMPACT: No Budget Impact. Funds are included in the Department Budget.

LAW & FINANCE

- Authorizing the acceptance and disbursement of New York State Septic System Replacement Program grant funds and amending the 2022 County budget in relation thereto. (Steve Bulger, County Administrator)
 - **<u>BUDGET IMPACT</u>**: The budget will be amended to accept these funds and authorize the related expenses.
- Authorizing inter-agency transfers necessary to close out 2022 County budget.
 (Steve Bulger, County Administrator)
 BUDGET IMPACT: No Budget Impact.

OTHER BUSINESS

- Committee approval of Fire and EMS department funding from the Covid Non-Profit Grant.(Steve Bulger, County Administrator)
- Setting agenda for Board Meeting scheduled for October 18, 2022

Adjourn

To view the webcast live or once recorded, go to https://www.saratogacountyny.gov/meetings/2022-meetings/



SARATOGA COUNTY AGENDA ITEM REQUEST FORM

TO: Steve Bulger, County Administrator Ridge Harris, Deputy County Administrator Michael Hartnett, County Attorney Therese Connolly, Clerk of the Board Stephanie Hodgson, Director of Budget

CC: Jason Kemper, Director of Planning and Economic Development Bridget Rider, Deputy Clerk of the Board Matt Rose, Management Analyst Clare Giammusso, County Attorney's Office Audra Hedden, County Administrator's Office

DEPARTMENT: County Administrator

DATE: October 4th, 2022

COMMITTEE: Law & Finance

RE: NYS Septic System Replacement Program

1. Is a Resolution Required:

Yes, Other

2. Proposed Resolution Title:

See Below

3. Specific Details on what the resolution will authorize:

Authorizing the acceptance and disbursement of New York State Septic System Replacement Program grant funds, and amending the 2022 County budget in relation thereto.

Two seperate residents are claiming reimbursement for their septic projects. One at a cost of \$4,300 and the other at \$10,000. This total of \$14,300 is 100% state aid.

This column must be completed prior to submission of the request.

-

County Attorney's Office Consulted

	ents for impacted budget lines. more than four lines are impacted.)	
Revenue		
Account Number	Account Name	Amount
A.90-3904	Septic System Replacement Fund Program	\$14,300
Expense		
Account Number	Account Name	Amount
A OO OOO 9769	Contin System Banksonment Fund	¢14 200
A.90.000-8762	Septic System Replacement Fund	\$14,300
A.90.000-8762 Source of Revenue	Septic System Replacement Fund	\$14,300
Source of Revenue	Septic System Replacement Fund State Aid Federal Aid	\$14,300 Other
Source of Revenue Fund Balance	State Aid Federal Aid	
Source of Revenue Fund Balance Identify Budget Impac	State Aid Federal Aid	Other

6.		ere Amendments to the Compensation Schedule? Human Resources Consulted Human Resources Consulted
		Is a new position being created? Y N
	a.	Effective date
		Salary and grade
	b.	Is a new employee being hired? Y N
		Effective date of employment
		Salary and grade
		Appointed position:
		Term
	c.	Is this a reclassification? Y N
		Is this position currently vacant? Y N
		Is this position in the current year compensation plan? Y N
7.	Does	this item require hiring a Vendors/Contractors: Y V N Purphosing Office Consulted
	a.	Were bids/proposals solicited: Y N Purchasing Office Consulted Purchasing Office Consulted
	b.	Type of Solicitation
	c.	Is the vendor/contractor a sole source: Y N
	d.	If a sole source, appropriate documentation has been submitted and approved by Purchasing Department? Y N N/A
	e.	Commencement date of contract term:
	f.	Termination of contract date:
	g.	Contract renewal and term:
	h.	Contact information:
	i.	Is the vendor/contractor an LLC, PLLC or partnership:
	j.	State of vendor/contractor organization:
	k.	Is this a renewal agreement: Y N
	1.	Vendor/Contractor comment/remarks:

8.	Is a g	grant being accepted: VES or NO	County Administrator's Office Consulted
	a.	Source of grant funding:	
		State	
	b.	Agency granting funds:	
		NYS DEC & NYS DOH	
	c.	Amount of grant:	
		14,300	
	d.	Purpose grant will be used for:	
		septic system replacements	
	e.	Equipment and/or services being purchased with the grant:	
		Septic Systems	
	f.	Time period grant covers:	
		Until December 31, 2022	
	g.	Amount of county matching funds:	
		N/A	
	h.	Administrative fee to County:	
		None	
9.	Suppo	orting Documentation:	
	•	Marked-up previous resolution	
		No Markup, per consultation with County Attorney	
	•	Program information summary	
	~	Copy of proposal or estimate	
	•	Copy of grant award notification and information	
		Other	
10.	Ren	marks:	



SARATOGA COUNTY SOIL & WATER CONSERVATION DISTRICT

50 West High Street, Ballston Spa, New York 12020 (518)885-6900 Fax (518)885-3116 clerksaratogaswcd@gmail.com

Request For Disbursement

i, the undersigned and Authorized Person of the County ofSaratoga
hereby certify and agree as follows:
 The Environmental Facilities Corporation is hereby requested to make a disbursement to
the County from the Septic System Replacement Fund, in the amount of
\$14,300.00 for septic system project costs submitted to the County for
reimbursement under the Septic System Replacement Program, as set forth in the attached
Disbursement Worksheet.
Diobardonicite Workdriede.
2. The amount requested to be transferred to the County pursuant to this request is
accurate and reflects the total amount of reimbursement requests from property owners during
the time period of2/8/22 [month, day, year] to10/4/22 [month, day, year].
10/4/22[month, day, year].
3. The County has determined that the reimbursement requests that form the basis for this
Request for Disbursement constitute eligible costs under the Clean Water Infrastructure Act of
2017, any Program guidance, rules or policy, and the County's participation agreement with the
Environmental Facilities Corporation for the Septic System Replacement Program.
4. The amount requested hereunder is for eligible costs that have not been included in any
previous Request for Disbursement.
Date:10/4/22 County of Saratoga
Odditty of
Bu 4/ 1 2
By:Name:Dustin Lewis
Title:District Manager



SARATOGA COUNTY SOIL & WATER CONSERVATION DISTRICT

50 West High Street, Ballston Spa, New York 12020 (518)885-6900 Fax (518)885-3116 clerksaratogaswcd@gmail.com

DISBURSEMENT SUMMARY WORKSHEET

Name of property	Address of septic	Date of	Total cost of	Grant disbursement
Sharon Front James McCornick	685 Waite RA Clifton Park, NY 12065 815 Plank Rd Clifton Park, NY 12065		23,404.00 88,600,W	9 10,000.00 4,300.00
Total Disbursement	requested by County			\$14,300.00

County Wire Tra	insfer Account Information	
Name of Bank:		
Contact Name:		
Bank ABA #:		
Account #:		-
	x ID #:	

COUNTY OF SARATOGA COUNTY MUNICIPAL CENTER

BALLSTON SPA NY 12020

VOUCHER

DEPT.

Claimant's James McCormick 815 Plank Rd

Name

Clifton Park, NY 12065

and Address

PURCHASE ORDER NO.

VOUCHER

NO.

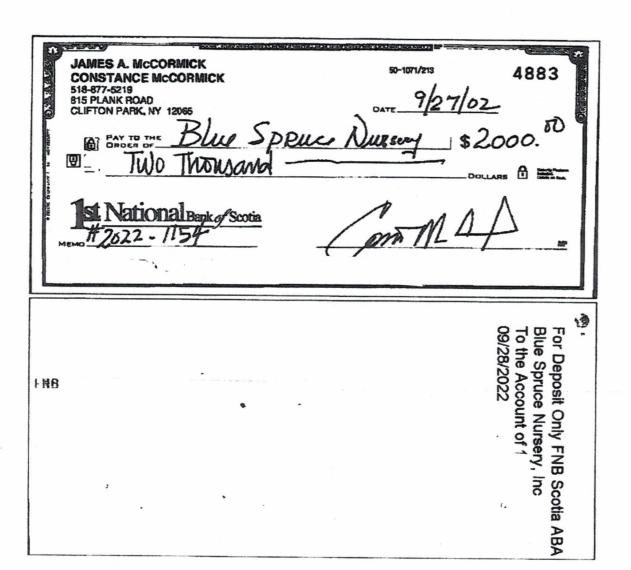
DO N	OTW	RITE	IN	THIS	BOX

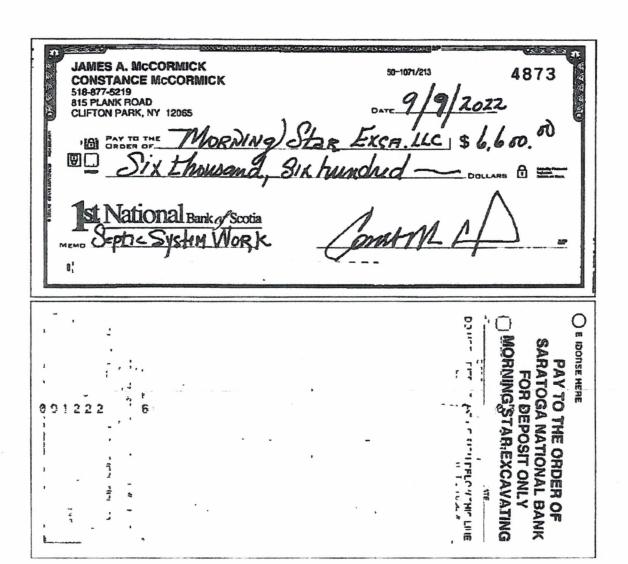
DATE VOUCH	HER RECEIVED		
FUND APPRO	PRIATION	AMOUNT	PO Number

OPEN \$			
СК	JE		
	TOTAL		
Abstract No.			
Vendor's Ref.	No.		DP

DATE	QUANTITY	DESCRIPTION OF MATERIALS OR SERVICES	UNIT PRICE	AMOUNT
10/4/22	1	Septic System Replacement (State Septic System Replacement Fund Program)	\$4,300.00	\$4,300.00
			TOTAL	\$4,300.00

James McCormick	
DEPARTMENT APPROVAL The above services or materials were rendered or furnished to the municipality on the dates stated and the charges are correct. DATE AUTHORIZED OFFICIAL	APPROVAL FOR PAYMENT This claim is approved and ordered paid from the appropriations indicated above.







Certificate of Compliance

Town of Clifton Park
Saratoga County New York

This certifies that the Septic System

Located at 815 Plank Rd

has been inspected and is in substantial compliance with the appropriate provisions of the codes of the Town of Clifton Park and said installment is hereby approved for use.

Pursuant to Building Permit Number

67754

Issued on the date of

1/13/2022

Date

9/13/2022

Authorized Signature

COUNTY OF SARATOGA COUNTY MUNICIPAL CENTER

BALLSTON SPA NY 12020

VOUCHER

DEPT.	
Claimant's Name and Address	Sharon Fronk 685 Waite Rd Clifton Park, NY 12065

ORDER NO.		NO.	
	DO NOT V	WRITE IN THIS BOX	
DATE VOUCH	HER RECEIVED		
FUND APPRO	PRIATION	AMOUNT	PO Number
OPEN \$			
СК	JE		
	TOTAL		
Abstract No.			
Vendor's Ref. No.			DP

VOUCHER

DATE	QUANTITY	DESCRIPTION OF MATERIALS OR SERVICES	UNIT PRICE	AMOUNT
9/15/22	1	Septic System Replacement (State Septic System Replacement Fund Program)	\$10,000.00	\$10,000.00
				,
		•		
,			TOTAL	\$10,000.00

PURCHASE

Sharon	Fronk		CLAIMANT'S CERTIFICATION				
1,		, certify that	the above	account in the amout of	\$	\$10,000.00	
	st print name above		· · · · · · · · · · · · · · · · · · ·				4
				ered to or for the municipality on th			rt
has been paid	or satisfied, that taxes,	from which the municipalit	y is exempt	, are not included, and that amoun	t claime	ed is actually due.	
9/23/2022	2		Sharou	r Fronk		hom	e owner
	Date		C2959DFI	SIGNATURE			TITLE
	DEPARTMENT A	PPROVAL		APPROV	AL FOR	R PAYMENT	
		e rendered or furnished to and the charges are correct	t.	This claim is approved and ordere above.	ed paid	from the appropria	itions indicated
DATE	AUT	HORIZED OFFICIAL	1.0				

Odorless Sanitary Cleaners, Inc. PO Box 1249 Ballston Lake, NY 12019 518-399-3482

Date	Invoice #
3/15/2022	60866

Bill To Carol Schell Sharon Fronk 685 Waite Road Clifton Park, NY 12065			
Sharon Fronk 685 Waite Road	Bill To	Ship To	
	Sharon Fronk 685 Waite Road	01.	

Due Date

4/14/2022

Serviced	Description	Amount
3/15/2022	Open and pump septic tank. Install new inlet line from house to septic tank. Install new 1000 gallon concrete septic tank with build ups on inlet and outlet covers. Install new 1000 gallon tank as pump station with cast iron effluent pump and alarm system. Install Wisconsin mound system as per plans. Finish to level grade and rake all disturbed area. No seeding included at this time.	20,900.00
7/22/2022	This invoice has been updated to reflect the price increase, due to the rising cost of materials	2,500.00
	Drilled foundation and installed second inlet line, at no charge. Sales Tax	0.00

Payment due upon receipt. Accounts not paid within 30 days will be charged 1.5%.

 Total
 \$23,400.00

 Payments/Credits
 -\$23,400.00

 Balance Due
 \$0.00



Certificate of Compliance

Town of Clifton Park Saratoga County New York

This certifies that the Septic System

Located at 685 Waite Rd

has been inspected and is in substantial compliance with the appropriate provisions of the codes of the Town of Clifton Park and said installment is hereby approved for use.

Pursuant to Building Permit Number 67721

Issued on the date of

1/5/2021

Date

9/7/2022

Authorized Signature





SARATOGA COUNTY BOARD OF SUPERVISORS

RESOLUTION 33 - 2022

Introduced by Supervisors Schopf, Barrett, Grasso, Lant, Raymond, Tollisen and M. Veitch

AUTHORIZING THE ACCEPTANCE AND DISBURSEMENT OF NEW YORK STATE SEPTIC SYSTEM REPLACEMENT PROGRAM GRANT FUNDS, AND AMENDING THE 2021 COUNTY BUDGET IN RELATION THERETO

WHEREAS, the New York State Clean Water Infrastructure Act of 2017 established the Septic System Replacement Fund ("the Fund") to provide property owners a source of funding for the replacement of failing cesspools and septic systems servicing residences and small businesses in New York State; and

WHEREAS, the Septic System Replacement Program ("the Program") is a grant program that seeks to reduce the environmental and public health impacts associated with the discharge of effluent from cesspools and septic systems on groundwater used as drinking water, as well as threatened or impaired waterbodies; and

WHEREAS, pursuant to Resolution 79-2018, this board authorized the County to participate in the New York State Environmental Facilities Corporation ("EFC") Septic System Replacement Program ("the Program") for the term March 1, 2018 through December 31, 2022, and authorized the execution of a County Participation Agreement with the EFC; and

WHEREAS, the Program is being administered for the County by the Saratoga County Soil and Water Conservation District; and

WHEREAS, a property owner in the Town of Clifton Park submitted a grant application to the Saratoga County Soil and Water Conservation District requesting an award of Program funds for the replacement of the aging septic system on his property; and

WHEREAS, the Saratoga County Soil and Water Conservation District determined that said proposed septic system project would significantly and quantifiably reduce potential environmental and/or public health impacts that could result from the aging septic system, and issued an award letter to the applicant notifying him of the award of Program funds for the reimbursement of his eligible project costs; and

WHEREAS, the property owner has completed his septic system replacement project, and has applied to the Saratoga County Soil and Water Conservation District for the disbursement of his grant award of Program funds; and

their

WHEREAS, the Saratoga County Soil and Water Conservation District made an application to the EFC for disbursement of the Septic System Replacement Program funds awarded for this septic system project, and EFC has distributed total funds in the amount of \$10,000 to the County in reimbursement of the eligible costs of said project; and 14,300

WHEREAS, the acceptance and disbursement of these Septic System Replacement Program grant funds requires this Board's approval, and an amendment to the 2021 Saratoga County Budget; now, therefore, be it 2022

RESOLVED, that the Chair of the Board of Supervisors is hereby authorized to execute \$14,360 all necessary documents with the New York State Environmental Facilities Corporation for the acceptance of Septic System Replacement Program grant funds in the amount of \$10,000, with the form and content of such documents being subject to the approval of the County Attorney; and it is further

RESOLVED, that the 2021 Saratoga County Budget is hereby amended as follows:

NON-DEPARTMENTAL

Appropriations

Increase Acct. # A.90.000-8762 – Septic System Replacement Fund Program

14,300 \$10,000

Revenues

Increase Acct. # A.90-3904 – State Aid – Septic System Replacement Fund

\$10,000

and, be it further

RESOLVED, that the Saratoga County Treasurer is hereby authorized to disburse payment of said Septic System Replacement Program grant funds to the following property owner in the following amount in reimbursement of his respective eligible septic system project costs incurred:

Property Owner

John Knowlton 633 Bruno Road

Chifton Park, NY 12065

BUDGET IMPACT STATEMENT: None. 100% State Aid.

James McCornick 815 Plank Rd. 815 Plank Rd. Clifton Park, NY 12065

Sharon Fronk 685 Waite Rd. 685 Waite Rd. Clifton Park, NY 12065

\$ 10,000



SARATOGA COUNTY BOARD OF SUPERVISORS

RESOLUTION 79 - 2018

Introduced by Supervisors Tollisen, Allen, DeLucia, Lent, Pemrick, Szczpeniak, and Wright

AUTHORIZING AN AGREEMENT WITH THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION FOR THE COUNTY'S PARTICIPATION IN THE STATE SEPTIC SYSTEM REPLACEMENT PROGRAM

WHEREAS, the New York State Clean Water Infrastructure Act of 2017 established the Septic System Replacement Fund ("the Fund") to provide property owners a source of funding for the replacement of failing cesspools and septic systems servicing residences and small businesses in New York State; and

WHEREAS, the State Septic System Replacement Program ("the Program") is a grant program that seeks to reduce the environmental and public health impacts associated with the discharge of effluent from cesspools and septic systems on groundwater used as drinking water, as well as threatened or impaired waterbodies; and

WHEREAS, the New York State Department of Environmental Conservation and the New York State Department of Health have determined priority geographic areas in the State where the Program will provide grants for eligible septic system projects, and Saratoga County has been identified as a priority geographic area; and

WHEREAS, eligible septic system projects include: 1) the replacement of a cesspool with a septic system; and 2) the installation, replacement or upgrade of a septic system or septic system components, or installation of enhanced treatment technologies, including an advanced nitrogen removal system; and

WHEREAS, under the Program, counties located in priority geographic areas can access monies from the Fund to provide grants to reimburse property owners for up to fifty percent (50%) of the eligible costs, up to a maximum of \$10,000, of their eligible septic system projects; and

WHEREAS, counties participating in the Program must notify owners of properties served by septic systems that they could be eligible for financial assistance from the Program; and once notified, interested property owners would need to apply to the participating county for a grant from the Program; and

WHEREAS, if the County of Saratoga were to participate in the Program, it would be eligible to distribute grants to property owners up to a maximum aggregate amount of \$150,000; and

WHEREAS, the Saratoga County Soil and Water Conservation District has recommended that the County participate in the State Septic System Replacement Program, and it is willing to administer the Program on the County's behalf, and

WHEREAS, the County's participation in the Program would be at no appreciable cost to the County; now, therefore, be it

RESOLVED, that the Board of Supervisors of the County of Saratoga hereby authorizes the County of Saratoga to participate in the State Septic System Replacement Program; and, be it further

RESOLVED, that the Chair of the Board is hereby authorized to execute a County Participation Agreement with the New York State Environmental Facilities Corporation authorizing the County of Saratoga's participation in the State Septic System Replacement Program for the term March 1, 2018 through December 31, 2022, which Agreement shall be subject to the approval of the County Attorney as to form and content.

BUDGET IMPACT STATEMENT: No budget impact.



Facilities Corporation

Environmental | Department of | Department of Environmental Conservation

Health

State Septic System Replacement Program

Program Summary

The Clean Water Infrastructure Act of 2017 (L. 2017, c. 57, Part T) established the Septic System Replacement Fund to provide a source of funding for the replacement of cesspools and septic systems in New York State. This grant program (the "Program") seeks to reduce the environmental and public-health impacts associated with the discharge of effluent from cesspools and septic systems on groundwater used as drinking water, as well as threatened or impaired waterbodies.

The state Department of Environmental Conservation ("DEC") and the state Department of Health ("DOH") have determined priority geographic areas where the Program will provide grants for eligible septic system projects. An area's designation as a priority is based on its vulnerability to contamination (e.g. the presence of a solesource aquifer, or a known water-quality impairment), population density, soils, hydrogeology, climate, and reasonable ability for septic system projects to mitigate water quality impacts. Under the Program, counties in which priority geographic areas are located can access monies from the Fund to provide grants to reimburse property owners for up to 50% of the eligible costs (up to a maximum of \$10,000) of their eligible septic system projects.

The Program provides grants for eligible "septic system projects" involving:

- the replacement of a cesspool with a septic system, or
- the installation, replacement or upgrade of a septic system or septic system components, or installation of enhanced treatment technologies, including an advanced nitrogen removal system.

Overview

DEC and DOH have identified priority geographic areas for the replacement of septic systems, and allocated funding to counties from the Fund. Counties that have priority areas within their boundaries, and choose to participate in this program, are called "Participating Counties."

Participating Counties notify owners of property served by septic systems (including residences and small businesses) that they could be eligible for financial assistance from the Septic System Replacement Program. Once notified, property owners who undertake a septic system project may apply to the Participating County for a grant from the Program.

Participating Counties review and evaluate the applications and determine financial assistance awards based on the Program criteria and consideration of a property's location in relation to a waterbody, impacts to groundwater used as drinking water, and the condition of the property owner's current septic system. Participating Counties may set graduated incentive reimbursement rates for septic system projects to maximize pollution reduction outcomes. Septic system projects located in a priority geographic area identified by DEC as threatened or impaired by nitrogen must reduce nitrogen levels by at least 30% to receive assistance.

After evaluating applications and making funding decisions, Participating Counties notify the property owners of their grant awards by sending them award letters that include the amount, and other terms and conditions, of the grant. Property owners must formally accept the grant award and its terms and conditions by signing and returning the letter to their participating counties, after which property owners may proceed and hire design professionals and contractors to complete the work on their septic system projects.

As the Program provides funding on a reimbursement basis, property owners are initially responsible for the total cost of their septic system projects. Property owners may also choose to have the reimbursement paid directly to their contractors, which could reduce their out-of-pocket costs.

To receive reimbursement, property owners submit a reimbursement request to the Participating County in which they are located upon completion of their septic system projects. Reimbursement requests must include:

- (i) the completed Reimbursement Request form;
- (ii) any applicable design approval for the septic system project;
- (iii) description of all work completed;
- (iv) cost documentation and invoice or invoices for eligible costs; and
- (v) if applicable, a written authorization for a septic system contractor directly to receive the property owner's reimbursement.

Participating Counties review the reimbursement requests, and approve, adjust or deny them, as appropriate. No more often than once a month, Participating Counties aggregate the reimbursement requests they've received, and certify that amount in a disbursement request to the Environmental Facilities Corporation ("EFC"), which administers the Septic System Replacement Fund. EFC reviews the disbursement requests and once it is confirmed that all necessary documentation has been received and verified, disburses monies from the fund to the participating counties, which then issue reimbursement payments to property owners or their contractors for their completed septic system projects.



Department of Environmental Facilities Corporation

State Septic System Replacement Fund PROGRAM OUTLINE

OCTOBER 18, 2021

Kathy Hochul, Governor | Basil Seggos, Commissioner | Maureen A. Coleman, Esq., President and Chief Executive Officer

Table of Contents

1.	Background	2
2.	Definitions	2
3.	Septic Program Description	2
4.	Septic Repair and Replacement Priority Geographic Areas – Long Island	3
5.	Septic Repair and Replacement Priority Geographic Areas – Upstate	4
6.	Septic System Conditions Warranting Repair, Upgrade, or Replacement	4
7.	Septic Program Eligibility	5
8.	Administrative and Reimbursement Process	6

1. Background

a. The Clean Water Infrastructure Act of 2017 established the State Septic System Replacement Fund (Fund). The purpose of this Fund is to replace existing cesspools and septic systems that are having significant and quantifiable environmental and/or public health impacts to groundwater used for drinking water, or to a threatened or impaired waterbody.

2. Definitions

- a. Cesspool a drywell that receives untreated sanitary waste containing human excreta, which sometimes has an open bottom and/or perforated sides.
- b. Failure evidence of dye on the ground surface or in a watercourse, evidence of sewage effluent on the ground surface or in a watercourse, or other obvious failure of system components (e.g., collapse of a septic tank). Observed effluent may need to be confirmed as a failure by the introduction of dye into the treatment system and subsequent field observation for dye.
- c. Fund the State Septic System Replacement Fund created by the Clean Water Infrastructure Act of 2017.
- d. Participating County a county that notifies the New York State Environmental Facilities Corporation (EFC) that it seeks authority to administer a Septic System Replacement Program within its municipal boundaries and agrees to abide by the state program's goals, guidelines, eligibility requirements, and reimbursement procedures and provide information to property owners regarding program parameters, including eligibility criteria.
- e. Reasonably Likely to Fail System as judged by a County Health Department official or other authorized as identified herein, including but is not limited to, a septic system which is improperly located or improperly loaded; or has components that are improperly installed, deteriorated, or otherwise not functioning; and which system can be expected to fail in the near future.
- f. Septic System a system that provides for the treatment and/or disposition of the combination of human and sanitary waste with water not exceeding 1,000 gallons per day, serving a single parcel of land, including residences and small businesses.
- g. Septic System Project the replacement of a cesspool with a septic system; the installation, replacement, or upgrade of a septic system or septic system components; or installation of enhanced treatment technologies, including an advanced nitrogen removal system, to significantly and quantifiably reduce environmental and/or public health impacts associated with effluent from a cesspool or septic system to groundwater used as drinking water or to a threatened or an impaired waterbody.
- h. Small Business a business which is resident in New York State, independently owned and operated, not dominant in its field, and employing not more than 100 individuals.
- Priority Geographic Area for surface waterbodies the area covered by 250 feet from each side of a priority waterbody as listed on the EFC website (https://www.efc.ny.gov/SepticReplacement).

3. Septic Program Description

- a. Only participating counties are eligible to receive funds.
- b. The Fund is administered by EFC and is authorized to reimburse property owners for up to 50% of the eligible costs incurred for eligible septic system projects, up to ten thousand dollars (\$10,000).

- c. An eligible septic system project means the replacement of a cesspool with a septic system; the installation, replacement, or upgrade of a septic system or septic system components; or installation of enhanced treatment technologies to significantly and quantifiably reduce environmental and/or public health impacts associated with effluent from a cesspool or septic system to groundwater used as drinking water or to a threatened or an impaired waterbody located in a Priority Geographic Area, and selected by a participating county for funding from the Fund.
- d. The Fund is being targeted to Priority Geographic Areas within participating counties that contain: groundwater supplies (e.g., EPA sole source aquifers and primary aquifers); surface water drinking water supplies and other threatened or impaired surface waters where septic systems and cesspools are known or suspected to be adversely impacting the waterbody. The counties and Priority Geographic Areas within these counties are further defined in Sections 4 and 5.
- e. Single family, two family, and small businesses with an existing design sewage flow not exceeding 1,000 gallons per day (GPD) as of the date the participating county signed their participation agreement with EFC are eligible for this program. Seasonal or secondary homes may be eligible for this program if the participating county determines that an existing septic system has the reasonable potential to adversely impact a priority waterbody listed on the EFC website. New construction is not eligible for the Fund.
- f. Wherever feasible, a failed or a Reasonably Likely to Fail System shall be brought into full compliance with Appendix 75-A. When full compliance with the standards of Appendix 75-A is not feasible or practicable, the system should be upgraded based upon best professional judgment to the extent feasible to maximize protection of public health and safety. Advanced or enhanced treatment units may also be considered, where practical. Departure from the parameters of Appendix 75-A shall be minimized to allow for the best feasible upgrade within the borders of the lot, while preserving public health, safety, and the environment.
- g. This program does not require that existing non-complying sewage disposal systems that do not meet the standards of New York State Public Health 10 NYCRR Appendix 75-A (Appendix 75-A) but are not failing nor is a Reasonably Likely to Fail System in the near future be upgraded or replaced to 75-A standards.

4. Septic Repair and Replacement Priority Geographic Areas – Long Island

Priority Geographic Areas in Long Island (Nassau and Suffolk Counties):

- a. Areas in the 0-50 year contributing zone to public drinking water wells fields;
- b. Areas in the 0-25 year contributing zone to surface waters;
- c. Areas located in an area where groundwater is less than 10 feet below grade;
- d. Contribute to an area that is listed as a 303(d)-impaired waterbody; and
- e. Under the Long Island Nitrogen Action Plan, Suffolk Counties has developed a Sub-Watershed Wastewater Plan (SWP) and Nassau County's SWP is underway. Both SWPs evaluate parcel-specific nitrogen loads from wastewater, fertilizer, stormwater, and atmospheric deposition to the groundwater and receiving waters of more than 200 sub-watersheds. The SWPs identify Priority Geographic Areas for Septic System replacements/upgrades.

5. Septic Repair and Replacement Priority Geographic Areas – Upstate

a. A list of Priority Geographic Areas can be found at the following website, https://www.efc.ny.gov/SepticReplacement. Septic systems located within 250 feet of the waterbodies listed on the above website that are failing or are a Reasonably Likely to Fail System are eligible to participate in the program. Septic systems located greater than 250 feet from the waterbodies listed on the above website that are failing or are Reasonably Likely to Fail Systems may be eligible to participate in the program if the participating county determines that the existing septic system has the reasonable potential to adversely impact any such waterbody.

Septic System Conditions Warranting Repair, Upgrade, or Replacement

- a. If any of the following conditions are identified during a septic inspection, these are indications the septic system is failing or is a Reasonably Likely to Fail System. The process of repair and replacement may require additional inspections and an additional pump-out.
 - Indicators of an overloaded and/or clogged absorption area, including seepage pit, leach field, or cesspool.
 - ii. There is back-up of sewage into the facility served by the system or any component of the system because of an overloaded and/or clogged leach field, seepage pit, or cesspool.
 - 1. There is a discharge of effluent directly or indirectly to the surface of the ground (through ponding or surface breakout) or to a surface water.
 - 2. The system contains a cesspool without a separate tank component for primary solids separation and storage, (i.e., no septic tank).
 - 3. The septic tank requires pumping four times a year.

iii. Component deficiencies

- The septic tank is made of metal and does not comply with the UL-70 standard; or is made of metal, masonry block, or other material and shows signs of deterioration; or is cracked or otherwise structurally unsound, indicating that significant infiltration or exfiltration is occurring or is imminent.
- 2. Distribution box is not level.
- 3. Services line(s) are cracked/opened.
- 4. Pretreatment unit is not functioning properly.
- Other system components are deteriorated, not properly installed, or otherwise not functioning correctly.

iv. Location deficiencies

1. Any portion of the absorption area extends to within two feet of the seasonal high groundwater level.

- 2. Any portion of the absorption area is located within 100 feet of an individual well, or 200 feet of a public water supply, or 200 feet from an individual well that is located downhill and in direct line of drainage from the absorption facility.
- 3. Any portion of the absorption field is located within 100 feet of a waterbody and the replacement system can be relocated completely outside of the 100-foot buffer.
- 4. Any portion of the absorption field is located within 100 feet of a waterbody, and the replacement system employs enhanced treatment technology.

v. Technology deficiencies

Nassau County and Suffolk County made the determination that replacing septic systems and
cesspools with septic systems that remove less than 50% of nitrogen is inadequate. Therefore, in
Nassau and Suffolk Counties, only septic systems that remove at least 50% of the nitrogen will be
eligible for State Septic System Replacement Program funding.

7. Septic Program Eligibility

- a. To be eligible for funding from the Fund, a septic system project must be determined by the participating county to be in a Priority Geographic Area, as described in Sections 4 and 5, and meet the criteria of a septic system warranting repair, upgrade, or replacement, as described in Section 6.
- b. Determinations of eligibility will be made by the participating county based on the criteria contained herein, including the system's impacts to groundwater or surface waters used as drinking water or other waterbodies where septic systems and cesspools are known or suspected to be a major source of pollutants, and the condition of the property owner's current septic system. Septic systems condition may be determined by:
 - i. A County Health Department official:
 - ii. Another designated authority having jurisdiction, pursuant to septic inspections required by a municipal separate storm sewer system permit; or
 - iii. A septic contractor pursuant to the applicable county sanitary code.
- c. The inspection, design, repair, or replacement of a septic systems project must be in accordance with the following:
 - i. Both design and construction of any rehabilitation or replacement must have been approved by the County Health Department or another authorized agent;
 - ii. Septic structures, such as gas baffles or effluent filters in septic tanks and speed levelers in distribution boxes, shall be installed on all systems if practical. When outlet filters are installed, they must be designed and installed so that they can be removed without harming the integrity of the septic tank baffles or sanitary tee;
 - iii. In all cases, the least expensive, technologically appropriate alternative needed to upgrade a system as close as is reasonable to Appendix 75-A will be eligible; and
 - iv. A septic system project located in Nassau or Suffolk counties must reduce nitrogen levels by at least 50%.

d. Eligible Costs

i. To be eligible for reimbursement, incurred costs must be reasonable and necessary for work done to a septic system project. This will be determined by the County Health Department or other authorized agent,

as identified above in 7(b), that such septic system is failing or is a Reasonably Likely to Fail System prior to any repairs, or such system has received a Notice of Violation or Notice of Failure prior to any repairs.

- ii. Design costs are eligible, but limited only to work needed to complete an approved design, including needed site investigation, as-built drawings, and inspections.
- Installation costs, and costs of the system, system components, and enhanced treatment technologies are eligible.
- e. Ineligible Costs the following costs are ineligible for reimbursement:
 - i. Routine maintenance such as a pump-out of a septic tank;
 - ii. Any expenses that are not appropriately documented;
 - iii. Government permit fees, including but not limited to, fees assessed for building permits, zoning permits, construction compliance certifications, and floodplain disturbance permits;
 - iv. Interest and late fees:
 - v. Fines and penalties;
 - vi. Sales tax payment;
 - vii. Non-essential site beautification:
 - vIII. Non-essential interior plumbing changes;
 - ix. Administrative work conducted by the engineer; and
 - x. Construction observation by the engineer if the engineer, or an entity owned, controlled by or employing the engineer, is also conducting the repair or replacement.

8. Administrative and Reimbursement Process

- a. A participating county will notify eligible property owners (including residences and small businesses) of the availability of funds. Once notified, a property owner who undertakes a septic system project may apply to the county for funding.
- b. If the septic system project is selected for a grant, the participating county will notify the property owner of the award, which may reimburse up to 50% of the eligible costs of a septic system project, up to a maximum amount of \$10,000 per project. Once the award letter is accepted, the property owner may proceed and hire a design professional and contractor to complete the work on the septic system project.
- c. Funding to the participating counties is on a reimbursement basis; therefore, property owners are initially responsible for the total cost of their septic system projects. The property owner may choose to have the reimbursement paid directly to the contractor.
- d. To receive reimbursement, upon completion of the project, a property owner will be required to submit a request for reimbursement to the county. The request must include:
 - i. A completed reimbursement request;
 - ii. A description of all work completed:
 - iii. A cost documentation and invoice(s) for eligible costs; and

- iv. If applicable, a written authorization for a septic system contractor directly to receive the property owner's reimbursement.
- e. The participating county will then review the reimbursement request, approving, modifying, or denying the request, as appropriate, and issue reimbursement payments to property owners or their contractors.
- f. EFC will provide guidance and a set of template documents to be used by participating counties, including a notification of property's location in a Priority Geographic Area, an application form, an award letter, and a reimbursement request form.



SARATOGA COUNTY

AGENDA ITEM REQUEST FORM

TO: Steve Bulger, County Administrator Ridge Harris, Deputy County Administrator Michael Hartnett, County Attorney Therese Connolly, Clerk of the Board Stephanie Hodgson, Director of Budget

CC:	Jason Kemper, Director of Planning and Economic Development Bridget Rider, Deputy Clerk of the Board Matt Rose, Management Analyst Clare Giammusso, County Attorney's Office		
	Audra Hedden, County Administrator's Office		This column must be completed prior to submission of the request.
DEP	PARTMENT: County Administrator	-	
DAT	E: 9.29.22		
CON	MMITTEE: Law & Finance	•	
RE:	AUTHORIZING INTER-AGENCY TRANSFERS NECESSARY TO CLOSE OUT 2022 COUNTY BUDGET		
1.	Is a Resolution Required:		County Attorney's Office
	Yes, Other		Consulted
2.	Proposed Resolution Title:		
	AUTHORIZING INTER-AGENCY TRANSFERS NECESSARY TO CLOSE OUT 2022 COUNTY BUDGET		
3.	Specific Details on what the resolution will authorize:		
	Resolution will authorize the Administrator to make inter-agency transfers that are necessary to close out the 2 county budget.	022	

If yes	Is a Budget Amendment needed: YES or NO If yes, budget lines and impact must be provided. Any budget amendments must have equal and offsetting entries.						
		chments for impacted hen more than four li					
Reven	nue						
Accou	unt Number	Account?	Name	Amount			
Expen	nse						
Accou	unt Number	Account 2	Name	Amount			
Source	e of Revenue						
Fund	Balance	State Aid	Federal Aid	Other			
Ide	Identify Budget Impact:						
No	Budget Im	pact			~		
a.	G/L line in	mpacted					
b.	Budget ye	ar impacted					
c	Details						

6.		rere Amendments to the Compensation Schedule? Human Resources Consulted YES or NO (If yes, provide details)
		Is a new position being created? Y N
	a.	Effective date
		Salary and grade
	b.	Is a new employee being hired? Y N
		Effective date of employment
		Salary and grade
		Appointed position:
		Term
	c.	Is this a reclassification? Y N
		Is this position currently vacant? Y N
		Is this position in the current year compensation plan? Y N
7.	Does	this item require hiring a Vendors/Contractors: Y V N Purphasing Office Consulted
	a.	Were bids/proposals solicited: Y N Purchasing Office Consulted Purchasing Office Consulted N
	b.	Type of Solicitation
	c.	Is the vendor/contractor a sole source: Y N
	d.	If a sole source, appropriate documentation has been submitted and approved by Purchasing Department? Y N N/A
	e.	Commencement date of contract term:
	f.	Termination of contract date:
	g.	Contract renewal and term:
	h.	Contact information:
	i.	Is the vendor/contractor an LLC, PLLC or partnership:
	j.	State of vendor/contractor organization:
	k.	Is this a renewal agreement: Y N
	1.	Vendor/Contractor comment/remarks:

8.	is a grani nemo accenien i i i en on i i i i i i i i i i i i i i i i i i		County Administrator's Office Consulted
	a.	Source of grant funding:	
	b.	Agency granting funds:	
	c.	Amount of grant:	
	d.	Purpose grant will be used for:	
	e.	Equipment and/or services being purchased with the grant:	
	f.	Time period grant covers:	
	g.	Amount of county matching funds:	
	h.	Administrative fee to County:	
9.	Suppo	orting Documentation:	
	•	Marked-up previous resolution	
		No Markup, per consultation with County Attorney	
		Program information summary	
		Copy of proposal or estimate	
		Copy of grant award notification and information	
		Other	
10.	Ren	marks:	



SARATOGA COUNTY BOARD OF SUPERVISORS

RESOLUTION 000 - 2022

Introduced by Supervisors Schopf, Barrett, Grasso, Tollisen, Veitch, Lant and Raymond

AUTHORIZING INTER-AGENCY TRANSFERS NECESSARY TO CLOSE OUT 2022 COUNTY BUDGET

WHEREAS, inter-agency transfers are necessary to accommodate various adjustments to balance and close out the 2022 County Budget; and

WHEREAS, a delegation of the authority to approve these transfers is necessary to implement them in a timely and lawful manner; now, therefore, be it

RESOLVED, that, effective October 18, 2022, the County Administrator is authorized to make any inter-agency transfers necessary to close out the 2022 County Budget and he shall submit a written report thereof to the Law and Finance Committee in 2023.

BUDGET IMPACT STATEMENT: No budget impact.



SARATOGA COUNTY AGENDA ITEM REQUEST FORM

TO: Steve Bulger, County Administrator Ridge Harris, Deputy County Administrator Michael Hartnett, County Attorney Therese Connolly, Clerk of the Board Stephanie Hodgson, Director of Budget

CC: Jason Kemper, Director of Planning and Economic Development Bridget Rider, Deputy Clerk of the Board Matt Rose, Management Analyst Clare Giammusso, County Attorney's Office Audra Hedden, County Administrator's Office

DEPARTMENT: County Administrator

DATE: 10/5/2022

COMMITTEE: Law & Finance

RE: Committee Approval of Fire and EMS department funding from

the Covid Non Profit Grant.

1. Is a Resolution Required:

No, Committee Approval Only



- 2. Proposed Resolution Title:
- 3. Specific Details on what the resolution will authorize:

Pursuant to resolution 2015-2022, the Saratoga County Board of Supervisors set aside \$100,000 to assist Volunteer Fire and EMS Departments with recruitment. The list of applications received since the last Law and Finance meeting is attached. Each applicant has applied for \$2272 for a total of \$4454 for October.

This column must be completed prior to submission of the request.

County Attorney's Office Consulted

If yes, budget lines	and impact must be p	rovided.	Consulted 🗸	Office
Revenue				
Account Number	Account N	Name A	mount	
Expense				
Account Number	Account N	Name A	amount	
Source of Revenue				
Fund Balance	State Aid	Federal Aid	Other	
				- 1
	If yes, budget lines Any budget amendr Please see attac (Use ONLY will Revenue Account Number Expense Account Number	If yes, budget lines and impact must be p Any budget amendments must have equa Please see attachments for impacted (Use ONLY when more than four line) Revenue Account Number Account Number Account Number Account Number Account Number	Please see attachments for impacted budget lines. (Use ONLY when more than four lines are impacted.) Revenue Account Number Account Name A Expense Account Number Account Name A Source of Revenue	If yes, budget lines and impact must be provided. Any budget amendments must have equal and offsetting entries. Please see attachments for impacted budget lines. (Use ONLY when more than four lines are impacted.) Revenue Account Number Account Name Amount Expense Account Number Account Name Amount Source of Revenue

6.		ere Amendments to the Compensation Schedule? YES or NO (If yes, provide details) Human Resources Consulted
		Is a new position being created? Y N
	a.	
		Effective date
		Salary and grade
	b.	Is a new employee being hired? Y N
		Effective date of employment
		Salary and grade
		Appointed position:
		Term
	c.	Is this a reclassification? Y N
		Is this position currently vacant? Y N
		Is this position in the current year compensation plan? Y N
7.	Does	this item require hiring a Vendors/Contractors: Y N Purchasing Office Consulted
	a.	Were bids/proposals solicited: Y N Purchasing Office Consulted
	b.	Type of Solicitation
	c.	Is the vendor/contractor a sole source: Y N
	d.	If a sole source, appropriate documentation has been submitted and approved by Purchasing Department? Y N N/A
	e.	Commencement date of contract term:
	f.	Termination of contract date:
	g.	Contract renewal and term:
	h.	Contact information:
	i.	Is the vendor/contractor an LLC, PLLC or partnership:
	j.	State of vendor/contractor organization:
	k.	Is this a renewal agreement: Y N
	1.	Vendor/Contractor comment/remarks:

8.	Is a gr	rant being accepted: YES or NO	County Administrator's Office Consulted
	a.	Source of grant funding:	
	b.	Agency granting funds:	
	c.	Amount of grant:	
	d.	Purpose grant will be used for:	
	e.	Equipment and/or services being purchased with the grant:	
	f.	Time period grant covers:	
	g.	Amount of county matching funds:	
	h.	Administrative fee to County:	
9.	Suppor	ting Documentation:	
		Marked-up previous resolution	
	~	No Markup, per consultation with County Attorney	
		Program information summary	
		Copy of proposal or estimate	
		Copy of grant award notification and information	
		Other	
10.		arks:	
	See	attached list of applications received to date.	

Name	Application received	Paid
Arvin Hart Fire	9/6/2022	10/6/2022
Ballston Lake Fire Department	9/6/2022	10/6/2022
Ballston Spa Fire Department		
Burnt Hills Fire Department	9/6/2022	10/6/2022
Charlton Fire Department	9/6/2022	•
Clifton Park Halfmoon Fire Department	SIM DIM HEROTERI	
Corinth		
Edinburg	9/8/2022	10/6/2022
Galway Fire Department	9/6/2022	- Los - Coro 1-00 Commenção
Gansevoort Fire District		
Greenfield Fire District		
Halfmoon-Waterford Fire Department	9/6/2022	10/6/2022
Harmony Corners Fire Department	9/12/2022	
Hillcrest Fire	9/6/2022	10/6/2022
Jonesville Fire Department	9/14/2022	
Malta Ridge Fire	9/6/2022	10/6/2022
Mechanicville Fire	10/6/2022	2 20
Northside (Peck Hose) Fire		
Providence Fire	9/6/2022	10/6/2022
Quaker Springs Fire	9/12/2022	
Rexford Fire	9/6/2022	10/6/2022
Milton (Rock City Falls) Fire	9/6/2022	
Round Lake Fire	9/9/2022	10/6/2022
Saratoga Springs Fire	9/8/2022	10/6/2022
Schuylerville Fire	9/6/2022	10/6/2022
South Glens Falls Fire		
Stillwater Fire		
Victory Mills Fire	9/6/2022	
Vischers Ferry Fire Department	9/6/2022	
Waterford Fire	9/6/2022	10/6/2022
West Charlton Fire		50 000
West Crescent Fire Department	10/6/2022	
Wilton Fire	9/6/2022	
Ambulance Corps Listing		
Ballston Lake Emergency Squad	9/9/2022	10/6/2022
Clifton Park Half Moon Ambulance	9/6/2022	10/6/2022
Community Emergency Corp	9/6/2022	10/6/2022
Edinburg Emergency Squad	9/6/2022	10/6/2022
Galway Ambulance Corp		
Jessups Landing EMS (Corinth)	9/6/2022	10/6/2022
Malta-Stillwater EMS	9/6/2022	10/6/2022
Moreau Emergency Squad	9/6/2022	10/6/2022
Waterford Rescue Squad	9/14/2022	10/6/2022
Wilton Emergency Squad	9/6/2022	10/6/2022
Other Applications Received		